

07/10/01

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07-12-01

PTO/SB/05 (11-00)

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No. 441742000102

First Inventor Russell A. HOUSER

Title PERCUTANEOUS BYPASS GRAFT AND SECURING SYSTEM

Express Mail Label No. EL676223582US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: July 10, 2001

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Cindy Hoang

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17) + Duplicate (2 pgs.)  
(Submit an original, and a duplicate for fee processing)2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.3. ☒ Specification [Total Pages 31]

(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets 13]5. ☒ Oath or Declaration [Total Pages 3]a. ☐ Newly executed (original or copy)b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet. See 37 CFR 1.76 (2 pgs.)

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 202317. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)a. ☐ Computer Readable Form (CRF)b. ☐ Specification Sequence Listing on.i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ paperc. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement  
(where there is an assignee)☐ Power of Attorney11. ☐ English Translation document (if applicable)12. ☐ Information Disclosure Statement  
(IDS)/PTO-1449☐ Copies of IDS  
Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)  
Should be specifically itemized15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) Applicant  
must attach form PTO/SB/35 or its equivalent.1) Copy of Revocation of Prior Power of Attorney and  
Prosecution by Assignee from Application Serial No.  
08/966,003 (2 pgs.)2) Copy of Declaration for Utility Patent Application from  
Application Serial No. 08/966,003 (3 pgs.)

3) Associate Power of Attorney (2 pgs.)

4) Preliminary Amendment (2 pgs.)

17. ☒ Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No: 09/415,776

Prior application information

Examiner U. Ho

Group / Art Unit 3731

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☒ Correspondence address below

25226

PATENT TRADEMARK OFFICE

(Insert Customer No. or Attach bar code label here)

Name Johney U. Han

Address Momson &amp; Foerster LLP

755 Page Mill Rd

City Palo Alto

State CA

Zip Code

94304-1018

Country United States of America

Telephone

(650) 813-5786

Fax

(650) 494-0792

Name (Print/Type)

Johney U. Han

Registration No. (Attorney/Agent)

45,565

Signature

Date July 10, 2001

pa-606429

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# FEE TRANSMITTAL FOR FY 2001 DUPLICATE COPY FOR FEE PROCESSING

Patent fees are subject to annual revision.

## Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | 441742000102      |
| Filing Date          | Herewith          |
| First Named Inventor | Russell A. HOUSER |
| Examiner Name        | To Be Assigned    |
| Group Art Unit       | To Be Assigned    |
| Attorney Docket No   | 441742000102      |

TOTAL AMOUNT OF PAYMENT

(\$) 767

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number: 03-1952  
Deposit Account Name: Morrison & Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 710             | 201            | 355             | Utility filing fee     | 355      |
| 106            | 320             | 206            | 160             | Design filing fee      |          |
| 107            | 490             | 207            | 245             | Plant filing fee       |          |
| 108            | 710             | 208            | 355             | Reissue filing fee     |          |
| 114            | 150             | 214            | 75              | Provisional filing fee |          |

SUBTOTAL (1) (\$) 355

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid      |
|--------------------|--------------|----------------|---------------|
| 48                 | -20** = 28   | x 9 =          | \$ 252        |
| Independent Claims | 7            | -3** = 4       | x 40 = \$ 160 |
| Multiple Dependent |              | 135            | = \$ 0        |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102            | 80              | 202            | 40              | Independent claims in excess of 3                         |
| 104            | 270             | 204            | 135             | Multiple dependent claims, if not paid                    |
| 109            | 80              | 209            | 40              | **Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 412

\*\* or number previously paid, if greater; For reissues, see above.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  |          |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                       |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for ex parte reexamination                              |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                       |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                          |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                       |          |
| 116            | 390             | 216            | 195             | Extension for reply within second month                                      |          |
| 117            | 890             | 217            | 445             | Extension for reply within third month                                       |          |
| 118            | 1,390           | 218            | 695             | Extension for reply within fourth month                                      |          |
| 128            | 1,890           | 228            | 945             | Extension for reply within fifth month                                       |          |
| 119            | 310             | 219            | 155             | Notice of Appeal   |          |
| 120            | 310             | 220            | 155             | Filing a brief in support of an appeal                                       |          |
| 121            | 270             | 221            | 135             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                                |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,240           | 241            | 620             | Petition to revive - unintentional   |          |
| 142            | 1,240           | 242            | 620             | Utility issue fee (or reissue)   |          |
| 143            | 440             | 243            | 220             | Design issue fee   |          |
| 144            | 600             | 244            | 300             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions of the Commissioner  |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                                |          |
| 126            | 180             | 126            | 180             | Submission of Information Disclosure Stmt                                    |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per properties (times number of properties) |          |
| 146            | 710             | 246            | 355             | Filing a submission after final rejection (37 CFR § 1.129(a))                |          |
| 149            | 710             | 249            | 355             | For each additional invention to be examined (37 CFR § 1.129(b))             |          |
| 179            | 710             | 279            | 355             | Request for Continued Examination (RCE)                                      |          |
| 169            | 900             | 169            | 900             | Request for expedited examination of a design application                    |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

## SUBMITTED BY

Name (Print/Type)

Johney U. Han

Registration No.  
(Attorney/Agent)

45,565

Complete (if applicable)

Telephone

(650) 813-5786

Signature

Date

July 10, 2001

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